

**PEACOCK, MYERS & ADAMS, P.C.**  
INTELLECTUAL PROPERTY LAW SERVICES

Deborah M. Peacock  
Jeffrey D. Myers<sup>1,2,3</sup>  
Paul Adams<sup>1,2,3</sup>  
Rod D. Baker<sup>1,3</sup>  
Stephen A. Slusher<sup>1</sup>  
Brian J. Pangrle, Ph.D.<sup>1</sup>  
Andrea L. Mays<sup>1</sup>

1. Registered U.S. Patent and Trademark Office  
Admitted New Mexico Bar  
2. Admitted California and Washington Bars  
3. Admitted Colorado Bar  
4. Admitted Wisconsin Bar

U.S. and International  
Patent searches and protection  
Trademark searches and protection  
Copyright searches and registrations  
Intellectual property lawsuits  
Licensing and contracts  
Employment agreements  
Confidentiality/Non-compete agreements  
Computer law  
Trade secrets  
Art law

**IN THE UNITED STATES PATENT  
AND TRADEMARK OFFICE**

Applicant(s): SHAY (HAZZARD), et al. :  
Serial No. 09/738,045 :  
Filing Date: December 15, 2000 :  
For: FULL-DUPLEX OPTICAL COMMUNICATION SYSTEM :

TO: Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, D.C. 20231

Re: Correction of Official Filing Receipt

Please note the filing receipt of the application, copy attached, lists the order of inventors in the manner listing in the original filing papers. The signed Declaration for Patent Application is being filed concurrently herewith which correctly lists the order of inventors. A new official filing receipt showing the corrected listing of inventors as:

- |  |                                     |
|--|-------------------------------------|
| (1) THOMAS M. SHAY, Albuquerque NM;    | (2) DAVID A. HAZZARD, Kirtland, NM; |
| (3) STEPHEN HORAN, Albuquerque NM; and | (4) JASON A. PAYNE, Tempe AZ        |

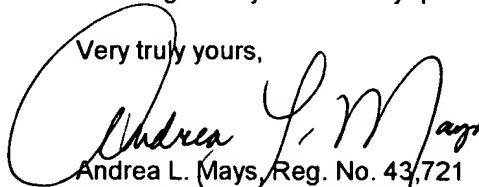
is respectfully requested. Charge any additional fees, as required, or credit any overpayment to Deposit Account No. 13-4213.

Please do not hesitate to call the undersigned if you have any questions.

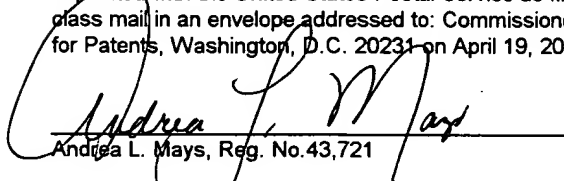
Date: April 19, 2001

Encls.

Very truly yours,

  
Andrea L. Mays, Reg. No. 43,721  
Direct line (505) 998-6132

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on April 19, 2001.

  
Andrea L. Mays, Reg. No. 43,721

April 19, 2001  
(Date)

ALBUQUERQUE:  
201 Third Street NW · Suite 1340  
Post Office Box 26927  
Albuquerque, New Mexico 87125-6927  
Telephone: (505) 998-1500  
Fax: (505) 243-2542

Email: [Info@PeacockLaw.com](mailto:Info@PeacockLaw.com)  
<http://www.PeacockLaw.com>

SAN DIEGO:  
501 West Broadway · 15th Floor  
San Diego, California 92101  
Telephone: (619) 220-0030  
Fax: (619) 220-0050



H-A-0360  
#  
Filed in Duplicate  
PATENT APPLICATION

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on April 19, 2001.

*Andrea L. Mays*  
Andrea L. Mays, Reg. No. 43,721  
Jeffrey D. Myers, Reg. No. 35,964

April 19, 2001  
(Date)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: SHAY (HAZZARD), et al.  
Serial No. 09/738,045  
Filed: December 15, 2000  
For: FULL-DUPLEX OPTICAL COMMUNICATION SYSTEM

RESPONSE TO NOTICE TO FILE MISSING PARTS OF NON-PROVISIONAL APPLICATION

Box: MISSING PARTS  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

In response to the Notice to File Missing Parts of Application (Filing Date Granted) mailed February 20, 2001, enclosed are the following items for filing in the above-referenced U.S. patent application:

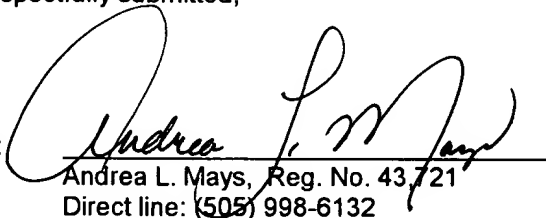
1. Declaration and Power of Attorney, executed by Applicants in counterpart on the dates shown.
2. Check to cover the surcharge fee in the amount of \$65.00 (small entity).
3. Office's copy of Notice to File Missing Parts, Filing Date Granted, as required.

If there are additional fees, or any credits, please charge such amounts to Deposit Account  
No. 13-4213. A duplicate of this paper is provided for accounting.

Having now complied with all of the requirements of 37 CFR § 1.53, Applicants respectfully request  
that an Official Filing Receipt be issued (showing the newly ordered list of inventors) and that this application  
be placed in line for examination.

Respectfully submitted,

By:

  
Andrea L. Mays, Reg. No. 43,721  
Direct line: (505) 998-6132

Dated: April 19, 2001

PEACOCK, MYERS & ADAMS, P.C.  
Attorneys for Applicant(s)  
P. O. Box 26927  
Albuquerque, New Mexico 87125-6927

Telephone: (505) 998-1500  
Facsimile: (505) 243-2542

**Customer No. 005179**

[F:\ANNETTE\NMSUT-Shay-MP.PTO.doc] 37000-9918



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/738,045	12/15/2000	2131	355	37000UT9918	4	18	3

CONFIRMATION NO. 2319

005179

PEACOCK MYERS AND ADAMS P C

P O BOX 26927

ALBUQUERQUE, NM 871256927

## FILING RECEIPT



\*OC000000005776699\*

Date Mailed: 02/20/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s) *Thomas M. Shay, Albuquerque NM*

David A. Hazzard, Kirtland, NM;

Stephen Horan, ~~Las Cruces, NM;~~ *Albuquerque*Jason A. Payne, ~~Las Cruces, NM;~~ *Tempe, AZ*~~Thomas M. Shay, Las Cruces, NM;~~

## Continuing Data as Claimed by Applicant

THIS APPLN CLAIMS BENEFIT OF 60/170,805 12/15/1999

WHICH CLAIMS BENEFIT OF 60/170,889 12/15/1999

## Foreign Applications

If Required, Foreign Filing License Granted 02/16/2001

Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes

Early Publication Request: No

\*\* SMALL ENTITY \*\*

Title

Full-duplex optical communication system

RECEIVED

FEB 23 2001

Peacock, Myers &amp; Adams, PC